

12-05-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David Snowden et al.

Serial No.:

Group No.

Filed: Herewith

Examiner:

For: STORY-BASED ORGANIZATIONAL
ASSESSMENT AND EFFECT SYSTEM

Commissioner of Patents and Trademarks

Box Patent Application

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label Number EV 001688073 US

Date of Deposit October 24, 2001

I hereby certify that the attached paper or fee

Patent Application Transmittal Letter (original and one copy)

Patent Application

4 Figures

Unsigned Declaration and Power of Attorney

Self Addressed Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Lucille Fourgeot

(Name)

Lucille Fourgeot
Signature

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

Docket No. YOR920000749US2

10/24/01
1000 U.S. PTO
10/001948

1000 U.S. PTO
10/001948
10/24/01

10/24/01

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

CASE DOCKET NO. YOR920000749US2
Date: October 24, 2001

Sir: 
10/24/01

Transmitted herewith for filing is the Patent Application of:

Inventors: David Snowden, Sharon Darwent, Fiona Incledon, Cynthia Kurtz,
John C. Thomas and Neal Keller

For: STORY-BASED ORGANIZATIONAL ASSESSMENT AND EFFECT SYSTEM

Enclosed are:

Express Mail Label: EV 001688073 US
October 24, 2001

X (4) Sheets of formal Drawings.

An assignment of the invention to International Business
Machines Corporation, Armonk, New York 10504.

Certified copy of UK Patent Application No.

X Unsigned Declaration and Power of Attorney is attached to the
application.

Associate Power of Attorney.

Information Disclosure Statement with form PTO-1449 with
references attached.

The filing fee has been calculated as shown below:

| | | (Col. 1) | (Col. 2) | OTHER THAN A SMALL ENTITY | |
|--|--|-----------|-----------|------------------------------|-----|
| FOR: | | NO. FILED | NO. EXTRA | RATE | FEE |
| BASIC FEE | | | | | \$ |
| TOTAL CLAIMS | | - 20 = | 0 | X \$ 18 = | \$ |
| INDEP CLAIMS | | - 3 = | 0 | X \$ 80 = | \$ |
| ___ MULTIPLE DEPENDENT CLAIM PRESENTED | | | | + \$ 260 = | \$ |
| | | | | TOTAL | \$ |

If the difference in Col. 1 is less than
zero, enter "0" in Col. 2.

___ Please charge my Deposit Account No. 09-0468 in the amount
of \$ ____.

___ The Commissioner is hereby authorized to charge payment of the
following fees associated with this communication or credit any
overpayment to Deposit Account No. 09-0468. A duplicate copy of
this sheet is enclosed.

___ Any additional filing fees required under 37 CFR 1.16.

___ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By 

Attorney: Daniel P. Morris
Registration No.: 32,053
Tel. (914) 945-3217

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218 - 39-238
YORKTOWN HEIGHTS, NY 10598